

The seal of the State of Kansas is a semi-circular emblem. It features a blue border with the Latin motto "EAD ASTRA PER ASPERA" in white capital letters. Inside the border, the word "KANSAS" is written in large, bold, blue capital letters. The background of the seal is white with a pattern of small blue stars.

KANSAS

KATHLEEN SEBELIUS, GOVERNOR

INSTRUCTIONS FOR CERTIFICATION REGARDING LOBBYING

By signing and submitting the attached certification, the undersigned is demonstrating their understanding and acceptance of the following information and requirements.

1. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. §1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.
2. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
3. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee or a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
4. The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subgrantees shall certify and disclose accordingly.



KANSAS

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CERTIFICATION REGARDING LOBBYING

The undersigned should review the instructions for certification before completing this form. By signing this form the undersigned is demonstrating compliance with the certification requirements of 34 C.F.R. §82, "New Restrictions on Lobbying." This certification shall be treated as a material representation of fact upon which reliance will be placed by the Governor's Grants Program and the U.S. Department of Education.

The undersigned certifies that to the best of their knowledge and belief they are in compliance with these lobbying restrictions and that, if applicable, they will submit Standard Form-LLL, "Disclosure Form to Report Lobbying" in accordance with its instructions.

Signature

Date

Name and Title of Authorized Certifying Official

Name of Organization

Address of Organization

The seal of the State of Kansas is positioned at the top of the page. It features a semi-circular design with the Latin motto "AD ASTRA PER ASPERA" (To the stars through hardships) inscribed along the top arc. The word "KANSAS" is prominently displayed in the center in a large, blue, serif font. The seal is rendered in a light blue, semi-transparent style.

KANSAS

KATHLEEN SEBELIUS, GOVERNOR

INSTRUCTIONS FOR CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION FOR LOWER TIER COVERED TRANSACTIONS AND DRUG-FREE WORKPLACE AND CERTIFICATION REGARDING ENVIRONMENTAL TOBACCO SMOKE

By signing and submitting the attached certification, the prospective lower tier participant is demonstrating their understanding and acceptance of the following information.

1. The certifications are a material representation of fact upon which reliance is placed when this transaction is entered. If it is later determined that the prospective lower tier participant (here in after “participant”) knowingly rendered an erroneous certification, or otherwise violates the requirements of a certificate, in addition to other remedies available to the Federal Government, the U.S. Department of Education may pursue available remedies, including suspension and/or debarment.
2. The participant shall provide immediate written notice to the Office of the Governor’s Federal Grants Program if at any time the participant learns that a certification was erroneous when submitted or had become erroneous by reason of changed circumstances.
3. The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this clause, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. The terms controlled substance, conviction, criminal drug statute, and drug-free workplace have the meanings set out in the Drug-Free Workplace Act of 1988, and in the Definitions section of 34 C.F.R. §85, Subpart F. The terms children’s services and indoor facility have the meanings set out in the Pro-Children Act of 1994 and as defined by the U.S. Department of Education. You may contact the Office of the Governor’s Federal Grants Program for assistance in obtaining a copy of those regulations.
4. The participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 C.F.R. §9, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the U.S. Department of Education.

5. The participant further agrees by submitting this proposal that it will include the “Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction,” without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions. The participant also agrees to include the “Certification Regarding Environmental Tobacco Smoke” in all subawards, which contain provisions for children’s, services and that all subgrantees shall certify accordingly.
6. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not proposed for debarment under 48 C.F.R. §9, debarred, suspended, ineligible, or voluntarily excluded from covered transactions, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant, may, but is not required to, check the List of Parties Excluded from Federal Procurement and Nonprocurement Programs.
7. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
8. Except for transactions authorized under paragraph 4 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 C.F.R. §9, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the U.S. Department of Education may pursue available remedies, including suspension and/or debarment.
9. The participant agrees to provide a drug-free workplace by:
 - (a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the participant’s workplace and specifying the actions that will be taken against employees for violation of such prohibition;
 - (b) Establishing an on-going drug-free awareness program to inform employees about--
 - (1) The dangers of drug abuse in the workplace;
 - (2) The participant’s policy of maintaining a drug-free workplace;
 - (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (4) The penalties that may be imposed upon employees of drug abuse violations occurring in the workplace;
 - (c) Making it a requirement that each employee that will be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);
 - (d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will abide by the terms of the statement and notify the participant in writing of a conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
 - (e) Notifying the agency in writing, within 10 calendar days after receiving notice under paragraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Participants of convicted employees must provide notice, including

position title and identification number(s) of each affected grant, to the Office of the Governor's Federal Grants Program.

(f) Taking one of the following actions, within 30 calendar days of receiving notice under paragraph (d)(2), with respect to any employee who is so convicted--

- (1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
- (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency.



KANSAS

KATHLEEN SEBELIUS, GOVERNOR

CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION FOR LOWER TIER COVERED TRANSACTIONS AND DRUG-FREE WORKPLACE

Applicants should review the instructions for certification before completing this form. By signing this form the prospective lower tier participant is demonstrating compliance with the certification requirements of 34 C.F.R. §85, "Government-wide Debarment and Suspension (Nonprocurement) and Government-wide Requirements for Drug-Free Workplace (Grants)." This certification shall be treated as a material representation of fact upon which reliance will be placed by the Governor's Grants Program and the U.S. Department of Education.

The prospective lower tier participant certifies, by submission of this proposal, that it is not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency. Further, the participant is certifying that it will make a good faith effort to maintain a drug-free workplace.

If the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this certification.

Signature

Date

Name and Title of Authorized Certifying Official

Name of Organization

Address of Organization



KANSAS

KATHLEEN SEBELIUS, GOVERNOR

CERTIFICATION REGARDING ENVIRONMENTAL TOBACCO SMOKE

Public Law 103-227, Part C Environmental Tobacco Smoke, also known as the Pro-Children Act of 1994, requires that smoking not be permitted in any portion of any indoor facility routinely owned or leased or contracted for by an entity and used routinely or regularly for provision of health, day care, education, or library services to children under the age of 18, if the services are funded by the Federal programs either directly or through State or local governments, by Federal grant, contract, loan, or loan guarantee. The law does not apply to children's services provided in private residences, facilities funded solely by Medicare or Medicaid funds, and portions of facilities used for inpatient drug or alcohol treatment. Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1,000 per day and/or the imposition of an administrative compliance order on the responsible entity. By signing and submitting this application the subgrantee certifies that it will comply with the requirements of this Act.

Signature

Date

Name and Title of Authorized Certifying Official

Name of Organization

Address of Organization